

**Dimension of a class of Baer \*-rings  
defined by a relaxed set of axioms**

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# Motivation

”Von Neumann algebras are blessed with an excess of structure – algebraic, geometric, topological – so much, that one can easily obscure, through proof by overkill, what makes a particular theorem work.”

”If all the functional analysis is stripped away ... what remains should (be) completely accessible through algebraic avenues”.

Berberian, S. K. Baer  $*$ -rings; Springer-Verlag, Berlin-Heidelberg-New York, 1972.

# Goals

Let  $\mathcal{A}$  be a finite von Neumann algebra.

- $\mathcal{A}$  has the **dimension function**:

$$\{ \text{Modules over } \mathcal{A} \} \rightarrow [0, \infty]$$

- Finitely generated  $\mathcal{A}$ -module **splits** as  
torsion  $\oplus$  finitely generated projective.

**Goals :**

1. To obtain an algebraic proof of these facts.
2. To generalize to a larger class  $\mathcal{C}$  of Baer  $*$ -rings.

**And:**

3. To relax the axioms used to define  $\mathcal{C}$ .

# From VNAs to Baer \*-rings – Seven Reasonable Axioms

Berberian's Axioms:

- (A1) A Baer \*-ring  $R$  is **finite** if  $x^*x = 1$  implies  $xx^* = 1$  for all  $x \in R$ .
- (A2)  $R$  satisfies **existence of projections** and **unique positive square root** axioms.
- (A3) Partial isometries are addable.
- (A4)  $R$  is **symmetric**: for all  $x \in R$ ,  $1 + x^*x$  is invertible.
- (A5) There is a central element  $i \in A$  such that  $i^2 = -1$  and  $i^* = -i$ .
- (A6)  $R$  satisfies the **unitary spectral** axiom.
- (A7)  $R$  satisfies the **positive sum** -axiom.

## What do we get from A1 – A7?

1. Berberian:  $R$  can be embedded in a **regular ring**  $Q$  satisfying A1–A7, having the same projections as  $R$ .
2. Vaš:  $R$  is **Ore** and  $Q_{\text{cl}}(R) = Q = Q_{\text{max}}(R)$ .
3. Vaš: Ring of  $n \times n$  matrices  $M_n(R)$  over  $R$  is **semihereditary**.
4. Berberian: There is **dimension function**:  
 $\{ \text{projection over } R \} \rightarrow \text{con. functions on a nice space with values in } [0, \infty)$ .

**Need:**  $M_n(R)$  has complete lattice of projections for all  $n$ . Need two more axioms.

## Two More Axioms – Class $\mathcal{C}$

(A8)  $M_n(R)$  satisfies the **parallelogram law**.

(A9) Every sequence of orthogonal projections in  $M_n(R)$  has a supremum.

**Define the class  $\mathcal{C}$**  = class of Baer  $*$ -rings satisfying A1–A9. All the finite  $AW^*$ -algebras, finite VNA's are in  $\mathcal{C}$ .

**With A8 and A9 we have:**

- Berberian:  $M_n(R)$  is a Baer  $*$ -ring with the dimension function on projections.  $M_n(Q)$  is regular ring.

- Vaš:

Finitely generated module =  
torsion  $\oplus$  fin. gen. projective.

# Defining the Dimension

## Two steps:

1. If  $P$  is a fin. gen. projective  $R$ -module,

$$\dim_R(P) = d(p)$$

where  $p$  is a projection in  $M_n(R)$  for some  $n$ , with  $\text{im } p \cong P$ . The values are in  $C_{[0,\infty)}(X)$ .

2. If  $M$  is any  $R$ -module, define

$$\dim_R(M) = \sup \dim_R(P)$$

where  $\sup$  is taken over fin. gen. proj. submodules of  $M$ . The values are in  $C_{[0,\infty)}(X) \cup \{\infty\}$ .

# Properties of Dimension

**Theorem [Vaš]**  $\dim_R$  has all the properties as the dimension of a finite von Neumann algebra.

1. **Extension**: two steps agree.
2. **Additivity** for short exact sequences.
3. **Cofinality**: dimension of directed union is supremum of dimensions.
4. **Continuity**: closure and dimension agree.
5. The dimension is **uniquely** determined by 1 – 4.

**Outline of the proof:** Work over regular  $Q$ . Can go back to  $R$  since the projections are the same. Prove continuity using the monotony of f.g.p. modules.

# Torsion Theories for $\mathcal{C}$

Define:

$\mathbf{T}$  = all modules of dimension zero and

$\mathbf{P}$  = dir. unions of fin. gen. projective modules.

**Proposition [Vaš]**

$(\mathbf{T}, \mathbf{P})$  is a torsion theory.

**Theorem [Vaš]**

$(\mathbf{T}, \mathbf{P}) = \text{Lambek} = \text{Goldie}.$

Every finitely generated module split as a direct sum of its torsion submodule and torsion-free quotient.

# Torsion theories reflect ring theoretic properties of $R$

Denote:

- $(\mathbf{t}, \mathbf{p})$  = classical theory of an Ore ring  $R$ :  
 $M$  is torsion iff  $M \otimes_R Q_{cl}(R) = 0$ . For class  $\mathcal{C}$ ,  $\mathbf{p} = \text{flats}$ .
- $(\mathbf{b}, \mathbf{u})$  = largest theory in which  $R$  is torsion free.

## Theorem [Vaš]

1.  $R$  is **regular** ( $R = Q$ ) iff  $(\mathbf{t}, \mathbf{p})$  is trivial.
2. If  $R$  is self-injective, then  $(\mathbf{T}, \mathbf{P}) = (\mathbf{b}, \mathbf{u})$ .
3.  $Q$  is **semisimple** iff  $(\mathbf{t}, \mathbf{p}) = (\mathbf{T}, \mathbf{P})$  for  $R$ .
4.  $R$  is **semisimple** iff  $(\mathbf{T}, \mathbf{P})$  is trivial.
5.  $R$  is **trivial** if and only if  $(\mathbf{b}, \mathbf{u})$  is improper.

# Going Back to Finite VNA

From this approach we get:

1. Reason why real valued dimension (dependent on the trace used) gives us Lambek = Goldie theory.
2. Algebra of affiliated operators has dimension and is Baer  $*$ -ring.

## Getting rid of (A9)

**Berberian:** (A8) and (A9) are unwelcome guests.

**Question:** Can we get rid of them?

### Theorem [Vaš]

If  $R$  is a Baer  $*$ -ring that satisfies (A1)–(A7), then  $M_n(R)$  is Baer  $*$ -ring (thus, (A9) holds).

**Proof** follows from

1. Vaš:  $R$  is semihereditary and the regular ring  $Q$  of  $R$  is both the classical and the maximal ring of quotients.
2. M. W. Evans: The following are equivalent
  - i)  $R$  is right semihereditary and  $Q_{\max}(R)$  is the left and right flat epimorphic hull of  $R$ .
  - ii)  $M_n(R)$  is a right strongly Baer ring for all  $n$ .

## Unwelcome Guest (A8)

**Can we get rid of (A8) also?** Berberian believes so. I agree. Problem is still open.

(A8) is used:

- In [Be] to show that  $M_n(R)$  is finite.
- In [Va2] to show that the dimension function can be extended from projections in  $R$  to projections in  $M_n(R)$ .

## References

- [Be ] Berberian, S. K. Baer \*-rings; Springer-Verlag, Berlin-Heidelberg-New York, 1972.
- [Lu ] Lück, W.  $L^2$ -invariants: Theory and Applications to Geometry and K-theory, Springer-Verlag, Berlin, 2002.
- [Va1 ] Vaš, L. Torsion Theories for Finite von Neumann Algebras. Communications in Algebra. in press.
- [Va2 ] Vaš, L. Dimension and Torsion Theories for a Class of Baer \*-Rings. Journal of Algebra. in press.